

**GUINNESS ASSET MANAGEMENT FUNDS PLC (THE "COMPANY")**  
**UNITED KINGDOM COUNTRY SUPPLEMENT**

This Country Supplement, dated 11<sup>th</sup> January 2017, forms part of, and should be read in the context of, and in conjunction with, the prospectus for the Company dated 11 February 2011 as supplemented (the "Prospectus").

The Directors of the Company, whose names appear in the Prospectus under the heading "Directory", accept responsibility for the information contained in this document. To the best of the knowledge and belief of the Directors (who have taken all reasonable care to ensure that such is the case) the information contained in this document is in accordance with the facts and does not omit anything likely to affect the import of such information. The Directors accept responsibility accordingly.

All capitalised terms herein contained shall have the same meaning in this document as in the Prospectus, unless otherwise indicated.

The Directors wish to inform Shareholders and prospective investors in the Company or any of its Funds of the following:

**ADDITIONAL INFORMATION FOR INVESTORS IN THE UNITED KINGDOM ("UK")**

The Company is an open-ended umbrella type investment company, with segregated liability between each of its Funds, incorporated and authorised in Ireland as an undertaking for collective investment in transferable securities pursuant to the European Communities (Undertakings for Collective Investment in Transferable Securities) Regulations, 2011 (S.I. No352 of 2011), as same may be amended (the "Regulations").

The Company, and its existing Funds, as detailed below, have been recognised in the UK by the Financial Conduct Authority (the "FCA") pursuant to section 264 of the Financial Services and Markets Act 2000 (the "FSMA").

The FCA has not approved and takes no responsibility for the contents of the Prospectus or for the financial soundness of the Company or any of its Funds or for the correctness of any statements made or expressed in the Prospectus.

The existing Funds of the Company which are registered for sale in the UK are as follows:

- Guinness Alternative Energy Fund
- Guinness Global Energy Fund
- Guinness Global Equity Income Fund
- Guinness Global Money Managers Fund
- Guinness European Equity Income Fund
- Guinness Asian Equity Income Fund
- Guinness Global Innovators Fund
- Guinness Best of China Fund
- Guinness Emerging Markets Equity Income Fund
- Guinness Global Equity Fund
- Guinness US Equity Fund

## **Facilities Agent**

In connection with the Company's recognition under section 264 of the FSMA, the Company, by way of a UK Facilities Agent Agreement dated 18 January, 2008, has appointed Guinness Asset Management Limited (the "Facilities Agent") to maintain the facilities required of a recognised scheme pursuant to the rules contained in the Collective Investment Schemes Sourcebook ("COLL") published by the FCA as part of the FCA's Handbook of Rules and Guidance governing recognised schemes.

The facilities will be located at the offices of the Facilities Agent at 14 Queen Anne's Gate, London SW1H 9AA, United Kingdom.

At these facilities, any person may:

1. inspect (free of charge) a copy (in English) of:
  - (a) the Company's Articles of Association, the Regulations, the Material Contracts, and any subsequent amendments thereto;
  - (b) the most recent Prospectus issued by the Company, as the same may be amended and supplemented from time to time;
  - (c) the most recent Key Information Document(s) issued by the Company;
  - (d) the latest annual and half-yearly reports of the Company; and
  - (e) any other documents required from time to time by COLL to be made available.
2. obtain a copy of any of the above documents (free of charge in the case of documents (b) and (c));
3. obtain information (in English) about the prices of Shares;
4. redeem or arrange for the redemption of its Shares and obtain payment in relation to such redemption; any redemption requests received by the UK Facilities Agent shall be sent to Capita Financial Administrators (Ireland) Limited, the administrator of the Company, for processing.
5. make a complaint about the operation of the Company, which complaint the Facilities Agent will transmit to the Company; and
6. obtain, free of charge, details or copies of any notices which have been given or sent to Shareholders.

## **Fees and Expenses**

The Facilities Agent shall not receive a fee for its services.

**Dated: 11<sup>th</sup> January 2017**