

GUINNESS ASSET MANAGEMENT FUNDS PLC

(An umbrella type open-ended investment company with variable capital incorporated with limited liability under the laws of Ireland and with segregated liability between Sub-Funds)

Supplement dated 27 September 2021 for distribution in Luxembourg (the “Luxembourg Supplement”)

This Luxembourg Supplement is supplemental to, forms part of, and should be read in conjunction with the prospectus of GUINNESS ASSET MANAGEMENT FUNDS PLC (the “Company”) dated 28 July 2021 as amended and supplemented from time to time (the “Prospectus”).

Unless otherwise provided for in this Supplement, all capitalised terms shall have the same meaning herein as in the Prospectus.

Public Distribution in Luxembourg

The Company has notified the Luxembourg supervisory authority, the *Commission du Surveillance du Secteur Financier (CSSF)* of its intention to publicly distribute the Shares of the Company in Luxembourg in accordance with the requirements of Luxembourg Law on Undertakings for Collective Investment dated 17 December, 2010 Chapter 7, “UCITS situation in Other Member States of the European Union which market their units in Luxembourg” (the “2010 Law”), and is authorised to do since the end of the notification procedure.

Sub funds currently available for distribution in Luxembourg

- Guinness Sustainable Energy Fund
- Guinness Asian Equity Income Fund
- Guinness European Equity Income Fund
- Guinness Global Energy Fund
- Guinness Global Equity Income Fund
- Guinness Global Innovators Fund
- Guinness Global Money Managers Fund

Facility in Luxembourg

Pursuant to the Agreement dated 24th August 2012, the Company has appointed the following entities to provide facilities to investors in Luxembourg in respect of all Shares.

These entities render the facilities services listed hereunder:

- Link Fund Administrators (Ireland) Limited having its registered office at 1st Floor, 2 Grand Canal Square, Grand Canal Harbour, Dublin 2 Ireland, to handle the following service:
 - *(a) process subscription, repurchase and redemption orders and make other payments to unit-holders relating to the units of the UCITS, in accordance with the conditions set out in the documents required pursuant to Chapter IX;*
- Société Générale Bank & Trust having its registered office at 11, Avenue Emile Reuter, L-2420 Luxembourg, to handle the following services:
 - *(b) provide investors with information on how orders referred to in point (a) can be made and how repurchase and redemption proceeds are paid;*
 - *(c) facilitate the handling of information and access to procedures and arrangements referred to in Article 15 relating to the investors' exercise of their rights arising from their investment in the UCITS in the Member State where the UCITS is marketed;*
 - *(d) make the information and documents required pursuant to Chapter IX available to*

investors under the conditions laid down in Article 94, for the purposes of inspection and obtaining copies thereof;

- *(e) provide investors with information relevant to the tasks that the facilities perform in a durable medium; and*
- *(f) act as a contact point for communicating with the competent authorities.*

Publication of Net Asset Value per Share

The Net Asset Value per Share and subscription and redemption prices may be obtained from the office of the Facility, the Administrator or the Global Distributor during normal business hours and will be published daily on www.bloomberg.com and www.guinnessfunds.com.

Fees and Expenses of the Facility

The Investment Manager shall be responsible for paying the fees of the Facility.

Global Distributor

Guinness Asset Management Limited of 18 Smith Square, London, SW1P 3HZ has been appointed as Global Distributor of the Shares.